



**FINANCIAL
PLANNING
ASSOCIATION**

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November 29, 2023

Office of Regulations and Interpretations Employee Benefit Security Administration
U.S. Department of Labor
200 Constitution Ave., NW Washington, DC 20210

VIA ELECTRONIC SUBMISSION

RE: Public Hearing RIN 1210-AC02 Retirement Security Rule: Definition of an Investment Advice Fiduciary; and related exemptions.

Dear Assistant Secretary Gomez,

The Financial Planning Association® (FPA®), is the leading membership organization for CERTIFIED FINANCIAL PLANNER™ professionals and the only organization solely representing those individuals engaged in the financial planning process. FPA® supports nearly 17,000 members and 79 chapters and state councils across the country, and encourages high standards of professional competence, ethical conduct, and clear, complete disclosure when serving clients.

The FPA® has not yet submitted written comments to the proposed fiduciary and PTE rules and amendments, although we expect to do so in the coming days. FPA® requests to testify at the public hearing scheduled for December 12 – December 13, 2023, and will be represented by:

Patrick Mahoney
Chief Executive Officer

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